1	Water storage and irrigation practices associated with
2	cannabis production drive seasonal patterns of water
3	extraction and use in Northern California watersheds
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5	Short title: Cannabis irrigation practices drive seasonal patterns of
6	water extraction and use
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### 25 Abstract

26 Concerns have been raised over the impacts of cannabis farms on the environment and 27 water resources in particular, yet data on cultivation practices and water use patterns and have been limited. Estimates of water use for cannabis cultivation have previously relied on 28 extrapolated values of plant water demand, which are unable to account for differences in 29 30 cultivation practices, variation across the growing season, or the role of water storage in 31 altering seasonal extraction patterns. The current study uses data reported by enrollees in California's North Coast Regional Water Quality Control Board (Regional Water Board) Cannabis 32 Program to model how variation in cultivation practices and the use of stored water affect the 33 timing and amount of water extracted from the environment. We found that the supplemental 34 use of stored water resulted in a seasonal pattern of water extraction (i.e. water withdrawals 35 from the environment) that was distinct from water demand (i.e. water applied to plants). 36 37 Although water input to storage in the off-season months (November through March) reduced water extraction in the growing season (April through October), farms generally did not have 38 sufficient storage to completely forbear from surface water extraction during the growing 39 40 season. Beginning in 2019, forbearance will be required during this period for those in the regulated cannabis industry. The two most important predictors of storage sufficiency (type of 41 42 storage infrastructure and seasonality of water source) also had reliable effects on seasonal extraction patterns, further emphasizing the link between water storage and extraction 43 profiles. These findings suggest that resource managers and policy makers should consider the 44 ways in which cultivation practices drive water extraction patterns and how these practices 45 46 may be influenced by participation in the regulated cannabis industry.

# 47 Introduction

48	Northern California has long been the center of cannabis production in the United
49	States [1-3]. Cannabis cultivation sites are distributed throughout the region and are generally
50	located in remote, upper watersheds [4]. When the state voted to permit recreational cannabis
51	use in 2016, a key argument for legalization was allowing the state to better address
52	environmental harms caused by cannabis production [5]. In California, Illegal cannabis farms
53	have been shown to fragment forested landscapes [6], introduce pesticides, fertilizers, and
54	rodenticides into the environment [5, 7-9], and are often located in sensitive habitats, including
55	along streams that support endangered salmon species [4].
56	There has been particular concern over the impacts of cannabis cultivation on water
57	resources in areas with seasonally dry conditions [5]. Because many cannabis farms in Northern
58	California are located in rural landscapes with no access to municipal water supplies, cultivators
59	generally obtain water directly from the environment, relying on local springs, streams, and
60	groundwater wells [10]. Stream flow has been identified as an important limiting factor to
61	salmon, and other sensitive aquatic species in the region, particularly given the seasonal
62	drought of California's Mediterranean Climate [11-14]. Because cannabis water demands
63	coincide with the summer dry season, agricultural water diversions in the North Coast Region
64	have the potential to reduce stream flows [15-16], increase stream temperatures [17], or even
65	dewater streams during critical life stages of aquatic species [18-19]. Although these streams
66	are highly sensitive to variability in flow rates [20-21], there is a dearth of information
67	surrounding cannabis water use practices, making it difficult to quantify potential
68	environmental impacts.

69	An accurate baseline assessment of water use by cannabis cultivation is particularly
70	important when considering the spatial and temporal distribution of cannabis water demands.
71	Although cannabis cultivation has a relatively small geographic footprint, there is a high degree
72	of spatial clustering among cultivation sites [6] at both local [22] and regional scales [4].
73	Currently, there are very few data on the cumulative impacts of many, dispersed water users
74	[23-24] or flow estimates for small, unnamed streams on which they occur [25]. Impacts from
75	densely clustered cannabis farms may be exacerbated by temporal clustering of water demand,
76	with cannabis plants requiring frequent watering in late summer drought months and thus
77	causing concern for instream flows [5, 18]. A key assumption behind this concern has been that
78	water demand of cannabis plants directly results in water extraction during this period;
79	however, there has been no systematic analysis of when water is drawn from the watershed or
80	the factors that contribute to extraction patterns.
81	To date, estimates of water use by cannabis cultivation have relied on scaling a static
82	approximation of outdoor cannabis plant demands during the growing season for outdoor
83	cultivation, June-October [26-27, 18]. Unfortunately, this approach cannot account for changing
84	water demands over the course of the growing season or under different cultivation conditions.
85	For instance, a substantial proportion of farms use mixed-light operations (whether in
86	greenhouses or "hoophouses") that alter light cycles to produce multiple harvests of smaller
87	
07	cannabis plants, potentially extending the growing season, yet resulting in much lower water
88	cannabis plants, potentially extending the growing season, yet resulting in much lower water demand per-plant relative to outdoor cultivation. Another significant shortcoming of plant-
88 89	cannabis plants, potentially extending the growing season, yet resulting in much lower water demand per-plant relative to outdoor cultivation. Another significant shortcoming of plant- based estimates is that they do not account for the practice of using stored water. Although

91	have been sparse, given limited site access and the difficulty of obtaining these data from aerial
92	imagery [28-29]. An improved estimation of the water demand of cannabis cultivation would
93	account for water that is extracted and stored outside of the growing season, as well as how
94	factors such as water sources shape both when and how much water is extracted and stored.
95	These seasonal patterns of water extraction hold tremendous importance, given the potential
96	for overlap between cannabis water demands and low summer water availability.
97	This study analyzed self-reported data from cannabis farmers that were enrolled for
98	regulatory coverage under California's North Coast Regional Water Quality Control Board
99	Cannabis Waste Discharge Regulatory Program [10]. The reports were filtered to reduce bias
100	and then analyzed through the development of multiple models that related water use
101	practices to farm characteristics, including cultivation area, the type of operation (i.e. outdoor
102	vs. mixed-light), water storage capacity, type of storage, and water source, to address the
103	following questions:
104	1) Are water extraction rates distinct from those of water use (i.e. based on plant
105	demand) over the growing season and are these patterns influenced by operation
106	types?
107	2) Do farms typically have sufficient capacity to maintain a positive water storage
108	balance for the entirety of the dry season (April through October) and what are the
109	most important predictors of sufficiency?
110	3) How do the factors that influence water storage in turn affect the timing and amount
111	of water extraction?

## 113 Methods

#### 114 **Data**

115	The data used in this study were collected from cannabis farms enrolled for regulatory
116	coverage under the North Coast Regional Water Quality Control Board Cannabis Waste
117	Discharge Regulatory Program (NCRWQCB Cannabis Program). This program was established in
118	August 2015, with the majority of enrollees entering the program in late 2016 and early 2017.
119	The data discussed herein were collected from annual reports submitted in 2018 (n=1,702) and
120	were required to reflect site conditions during the 2017 cultivation year. These data, therefore,
121	largely represent the first full season of cultivation regulated by the NCRWQCB for the majority
122	of enrollees in the Cannabis Program. Parcels with cannabis cultivation (including multiple
123	contiguous parcels under a single ownership) constituted a <i>farm</i> , and reporting was done at this
124	scale. Although the spatial extent of the NCRWQCB Cannabis Program included all of
125	California's North Coast Region, due to constraints placed on cultivation by local and county
126	ordinances, reports from enrolled farms were limited to Humboldt, Trinity, Mendocino, and
127	Sonoma Counties (Fig 1).
128	
129	Fig 1. Study Area Map. The North Coast Region of California contains additional counties

besides Humboldt, Trinity, Mendocino, and Sonoma; however, enrollments in the NCRWQCB
Cannabis Program, and thus data included in the current study, were limited to these counties.

Given that data were self-reported, we screened reports for quality and excluded those 133 134 that were not prepared by professional consultants. Additional criteria for excluding reports included: reported water applied from storage without any corresponding input to storage, 135 136 substantial water input reported from "rain" during summer drought months, and failure to list 137 a proper water source. Farms were not required to use water meters, and those without meters often made estimates based the frequency of filling and emptying of small temporary 138 139 storage tanks (250 – 2500 gallons; 946 – 9,460 L) used for gravity feed systems and/or nutrient 140 mixing. We attempted to identify and exclude farms with erroneous reporting by removing 141 extreme water extraction outliers (more than 1.5 x Interquartile Range) and those with imprecise monthly estimates (e.g., 20,000, 25,000, and 30,000 L). Farms with total cultivation 142 area over one acre (43,560 ft<sup>2</sup>; 4,046 m<sup>2</sup>) were also excluded, to minimize additional error 143 inflation resulting from water use estimates at large (and infrequently occurring) scales. Farms 144 145 that reported no water use for the entire season or no cultivation area were excluded from the analysis (reports were required from all enrollees regardless of whether cultivation occurred 146 during 2017 season). Farms that reported a cultivation area of exactly 10,000 ft<sup>2</sup> or 9,999 ft<sup>2</sup> 147 (929 or 928 m<sup>2</sup>, respectively) were determined to reflect regulatory thresholds for local 148 149 cultivation ordinances rather than true cultivation area size. Aerial imagery from the National 150 Agriculture Imagery Program (2016 NAIP) was reviewed to provide an improved estimate of the size of cultivation area for these farms. The final dataset included 608 reports. 151 The data reported for each farm included the size of cultivation area (*cultivation area*: 152

154 storage (*water input*: gallons), type and volume of water storage infrastructure (*storage type*:

153

ft<sup>2</sup>), volume of water applied to plants (*water applied*: gallons), volume of water input to

pond, other (i.e. tank or water bladder); storage capacity: gallons). Although the data were 155 156 reported on the standard measurement system, for the purposes of data analysis and reporting, these measures were converted to SI units. Water data were reported on a monthly 157 158 basis (month), specifying up to three sources of applied water (application source: delivery, 159 municipal, pond, rain, springs, surface, tanks, water bladder, or well) and water input to storage (input source: delivery, municipal, rain, springs, surface, or well). An additional parameter 160 (source type: seasonal, perennial) was created specifying whether farms relied exclusively on 161 162 seasonal water sources (e.g. rain, springs, surface) or had at least one perennial source (i.e. 163 incorporating well, delivery, or municipal water). Although farms may have perennial access to springs and surface water, these water sources are subject to pending regulatory restrictions, 164 165 which will prohibit water diversions from April through October (i.e. "forbearance period"). However, for the 2017 cultivation year, farms that reported use of these sources during this 166 167 period were not subject to regulatory violations or penalties, nor did the use of these sources make them ineligible for enrollment [10]. 168

169 Cultivation area was reported as the footprint of mixed-light infrastructure and outdoor 170 gardens, incorporating both canopy area and the space between plants. Aerial imagery (2016 171 NAIP) was used to distinguish which farms had outdoor gardens, mixed-light infrastructure, or 172 both, and an additional model parameter was created (*operation type*: outdoor, mixed-light, 173 combination). The purpose of identifying *operation type* was to control for variation in plant 174 spacing (plants under mixed-light cultivation are smaller and more tightly spaced), ambient 175 temperature and humidity (mixed-light cultivation occurs underneath a canopy covering), and

176 length of cultivation season (mixed-light operations tend to produce multiple harvests,

- 177 although on shorter intervals).
- 178

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#### Seasonality of Water Use and Water Extraction 179

Water use and water extraction totals for each month were created using combinations 180 of reported water applied to plants and water input to storage and these served as response 181 variables for model fitting. Water use was defined as water applied either from storage or 182 directly applied from the original source, thus reflecting plant demand. Water extraction was 183 184 defined as water either input to storage or directly applied from the original source, thus reflecting withdrawal from the watershed. 185 186 As an additional check of these self-reported data, we sought to compare the reported rates of water use against the commonly adopted figure of 22 L/plant/day [26-27, 18] for 187 outdoor plants during the growing season. This was done using aerial imagery data from an 188 existing study in which the number of mature cannabis plants were counted within a cultivation 189

outdoor, cannabis plant. This number was determined to be 15 m<sup>2</sup> of cultivation area,

accounting for both the canopy of the plant and spacing between individuals. The rate of 22 L/ 192

area of a known size to determine the size of cultivation area representative of a single,

193 15 m<sup>2</sup>/day was converted to 22 L/ 15 m<sup>2</sup>/month and depicted where appropriate (Figs 2 and 4)

to provide context. 194

Simultaneously estimating the factors influencing water use (and water extraction) 195 required model fitting to account for zero-inflated, over-dispersed data. Two hurdle models 196 197 were fit to produce monthly estimates of the response variables water use and water

198 extraction, respectively, using R statistical programming software [30]. Traditionally used for 199 count data, hurdle models are two-component (binary and continuous) models applied to data 200 with excessive zero values, with the assumption that zero values arise from a process separate 201 from the non-zero values [31-32]. In this context we assume that water use is zero only when 202 cannabis cultivation is not occurring and *water extraction* is zero only when cannabis cultivation 203 is not occurring, or when water is being applied only from storage. Non-zero observations are qualitatively distinct from observations of zero, given that if water use or extraction occurs (i.e. 204 205 non-zero), there is a large minimum amount (~3,000 L) instead of observations declining 206 linearly to zero. The binary component model of the hurdle model (predicting zero vs non-zero) produces a likelihood of water use (and water extraction), while the continuous component 207 208 model produces values for strictly non-zero estimates. The product of the binary and 209 continuous components' estimates are the full hurdle model predictions for water use and 210 water extraction, conditional on the likelihood of an observation being non-zero. Using this approach, the hurdle model is able to simultaneously account for monthly observations in 211 212 which some farms did not use or extract water, while not allowing these observations to artificially reduce the estimates for farms, overall, during said month. 213

The first (binary) component (referred to hereafter as *binomial model*) of the hurdle models fit a multilevel logistic regression to the binomial response  $p_{m,t}$  indicating whether *water use* or *water extraction* were zero. The predictors included scaled (to standard Z-score) *cultivation area* (*Z*), *month* (*m*), and *operation type* (*t*), and interactions for *cultivation area* and *month*, as well as *cultivation area* and *operation type*. The form of this logistic regression is a

generalized linear model (GLM) with a logit link function and *p* being drawn from the binomial

220 distribution:

221 Eq. 1a-c 
$$\begin{array}{rcl} logit(p_{m,t}) &=& \beta_{0,m,t}+Z+\beta_{1,m,t}Z+\epsilon\\ \beta_{0,m,t} &=& \gamma_{m,0}+\gamma_{t,0}\\ \beta_{1,m,t} &=& \gamma_{m,1}+\gamma_{t,1} \end{array}$$

222  $\gamma_{m,0}$  and  $\gamma_{t,0}$  sum up to the intercept  $\beta_{0,m,t}$  for a given month and operation type, respectively. 223  $\gamma_{m,1}$  and  $\gamma_{t,1}$  sum up to the slope  $\beta_{1,m,t}$  for a given month and operation type, respectively. Given 224 twelve months and three operation types, the logistic model contained 36 levels. With the 225 same predictors as the binomial model, the second (continuous) component model fits a GLM 226 with a Gamma distributed response variable  $\mu$  using an inverse link function:

227 Eq. 2 
$$\mu^{-1} = \beta_{0,m,t} + Z + \beta_{1,m,t}Z + \epsilon$$

Intercepts and slopes were as described in Eq. 1a-c. The continuous component excluded data
with zero *water use* or zero *water extraction*. Hurdle model estimates (*W*) were calculated as
the product of the two estimated responses for the binomial model and continuous component
model:

232 Eq. 3 
$$W = \hat{\mu} \cdot \frac{1}{1 + e^{\hat{p}}}$$

Because model fitting required the use of a link function to account for non-normally distributed data, estimated relationships between continuous variables (i.e. *cultivation area* and *water use, cultivation area* and *water extraction*) were non-linear. For the purposes of model interpretation, predictions (liters of *water use* and *water extraction*) were made for each level of the categorical variables (*month* and *operation type*) at the median value of the continuous variable, *cultivation area* (1,098 m<sup>2</sup>). These results can be interpreted as the predicted *water use* or *water extraction* on the median sized farm. Responses in GLMs at the

240	level of the linear predictors are asymptotically normal, and confidence intervals for model
241	responses were calculated with the t-distribution and standard errors. Standard errors for
242	GLMs are the square root of the diagonal elements of the model covariance matrix, estimated
243	via maximum likelihood estimation.

244

## 245 Storage Capacity Sufficiency

246 For each farm, the total amount of reported *water use* from April through October was

subtracted from the reported storage capacity of the farm to create the response variable

248 storage balance (i.e. positive values indicate sufficiency while negative values indicate

249 insufficiency). Extreme outliers of storage balance were identified (6 x Interquartile Range) and

excluded (n=17). To simultaneously estimate the factors influencing storage balance (S), a

- 251 multilevel linear model was fit using the predictors: *cultivation area* (A), *operation type* (t),
- storage type (g), source type (r) and an interaction between *cultivation area* and *operation type*:

253 Eq. 4a-b 
$$S = \beta_{0,t,g,r} + A + \beta_{1,t}A + \varepsilon$$
$$\beta_{0,t,g,r} = \gamma_t + \gamma_g + \gamma_r$$

254  $\gamma_t$ ,  $\gamma_g$ , and  $\gamma_r$  are components summing to the intercept  $\beta_{0,t,g,r}$  for a given combination of 255 *operation type, storage type,* and *source type,* respectively, resulting in 12 levels total in the 256 model.

257

#### 258 Water Storage, Sources, and Extraction Patterns

An additional hurdle model was fit to determine if predictors of *storage balance* also had reliable effects on seasonal patterns of *water extraction*. The original hurdle model for

261	water extraction was supplemented using two additional predictors of storage balance (i.e.				
262	source type and storage type) along with their interactions with month. The intercept defined in				
263	Eq. 1b was thus revised accordingly:				
264	Eq. 5 $\beta_{0,m,t,g,r} = \gamma_m + \gamma_t + \gamma_g + \gamma_r + \gamma_{m,g} + \gamma_{m,r}$				
265	$\gamma_{m,g}$ and $\gamma_{m,r}$ are terms for the interactions of <i>storage type</i> and <i>source type</i> , respectively, with				
266	<i>month</i> . The slope term $\beta_{1,m,t}$ remained the same. Adding two categorical predictors, with two				
267	categories each, resulted in 144 levels in the hurdle models. AIC comparison was used to				
268	determine if addition of these parameters was justified [33].				
269	For the purposes of model interpretation, predictions of water extraction (liters) were				
270	made for each level of the categorical variables (month, operation type, source type, and				
271	<i>storage type</i> ) at the median value of the continuous variable, <i>cultivation area</i> (1,098 m <sup>2</sup> ).				
272					
273	Results				
274	The sample of reported data analyzed included outdoor and mixed-light operations, and				
275	those with combinations of the two cultivation types (Table 1). Average farm size varied				
276	between operation types, with outdoor farms smaller than mixed-light farms and combination				
277	farms larger than both. Average reported annual water use and extraction totals were much				
278	less for outdoor farms, relative to mixed-light and combination farms; however, there was a				
279	notable amount of variation within levels. Average annual water extraction was higher for				
280	farms with seasonal water sources than perennial sources, and for farms with ponds relative to				

- those without; although average water storage balances for the forbearance period were
- 282 greater for these farms with seasonal water sources and ponds.

#### 283

#### Table 1. Summary Statistics.

	Samula	Mean	Mean Annual	Mean Annual	Mean Forbearance
	Sample	<b>Cultivation Area</b>	Water Use	Water Extraction	Storage Balance
	SIZE	(Std Dev)	(Std Dev)	(Std Dev)	(Std Dev)
<b>Operation Typ</b>	е				
Outdoor	n – 170	1,185 m²	358,854 L	371,579 L	-72,655 L
	11 - 179	(575)	(303,389)	(322,659)	(279,560)
Mixed-light	n = 206	1,301 m²	533,981 L	602,295 L	-38,136 L
	11 – 200	(896)	(458,686)	(508,742)	(522,637)
Combination	n – 120	1,521 m²	500,513 L	531,692 L	-54,056 L
	11 - 150	(894)	(344,295)	(370,372)	(428,964)
Source Type					
Seasonal	n - 727	1,301 m²		556,275 L	-62,178 L
	11 - 257	(812)	-	(456,227)	(592,885)
Perennial	n - 271	1,296 m²		449,183 L	-285,354 L
	11 - 371	(823)	-	(390,923)	(338,389)
Storage Type					
Pond	n - 61	1,438 m²		831,505 L	+384,613 L
	11 - 01	(807)	-	(534,016)	(591,284)
Other	n = 477	1,282 m <sup>2</sup>		452,254 L	-253,927 L
	11 – 477	(818)	-	(387,702)	(359,426)

Summary statistics for categorical model parameters. Forbearance storage balance is defined as the reported amount of water used during the pending forbearance period (Apr-Oct) minus the reported storage capacity of the farm.

#### 284

Models were first developed for two continuous response variables: monthly water use 285 and monthly water extraction. Model parameters included cultivation area as a single 286 287 continuous predictor variable and two categorical variables: *operation type* and *month*. Model interpretation is reported for both the full hurdle models and the binomial component models. 288 The binomial models estimate the likelihood for water use or water extraction to occur in a 289 290 given month, whereas the full hurdle models estimate the amount of monthly water use or water extraction, conditional on the likelihood of water use or water extraction occurring for 291 292 that month (Table 2).

Month		Mixed-light	
	(95% CI)	(95% CI)	(95% CI)
Water Use			
lanuary	0.25	0.39	0.39
sandary	(0.21, 0.29)	(0.35, 0.44)	(0.34, 0.44)
February	0.28	0.43	0.43
	(0.24, 0.33)	(0.39, 0.48)	(0.38, 0.48)
March	0.37	0.53	0.53
	(0.32, 0.41)	(0.48, 0.58)	(0.47, 0.58)
April	0.62	0.70	0.76
•	0.86	0.72, 0.80)	0.72, 0.80)
May	(0.82, 0.89)	(0.90, 0.94)	(0 90 0 94)
	0.00	0.99	0.90
June	(0.97, 1,00)	(0.99 1.00)	(0.99_1.00)
	1.00	1.00	1.00
July	(0.98, 1.00)	(0.99, 1.00)	(0.99, 1.00)
	1.00	1.00	1.00
August	(0.98, 1.00)	(0.99, 1.00)	(0.99.1.00)
	0.98	0.99	0.99
September	(0.96, 0.99)	(0.98, 0.99)	(0.98, 0.99)
	0.91	0.95	0.95
October	(0.88, 0.93)	(0.93, 0.96)	(0.93, 0.96)
	0.38	0.54	0.53
November	(0.33, 0.42)	(0.49, 0.58)	(0.48, 0.59)
	0.27	0.42	0.42
December	(0.23, 0.31)	(0.37, 0.47)	(0.36, 0.47)
Water Extraction			
	0.45	0.52	0.53
January	(0.41, 0.50)	(0.48, 0.57)	(0.48, 0.58)
<b>February</b>	0.48	0.56	0.56
February	(0.44, 0.53)	(0.51, 0.60)	(0.52, 0.61)
March	0.55	0.62	0.63
IVIAICII	(0.51, 0.60)	(0.58, 0.66)	(0.58, 0.68)
Anril	0.69	0.75	0.75
Арті	(0.64, 0.73)	(0.71, 0.78)	(0.71, 0.79)
May	0.77	0.82	0.82
inay	(0.73, 0.81)	(0.78, 0.85)	(0.79, 0.85)
June	0.82	0.86	0.86
	(0.78, 0.85)	(0.83, 0.88)	(0.83, 0.89)
July	0.80	0.84	0.85
1	(0.76, 0.84)	(0.81, 0.87)	(0.82, 0.88)
August	0.80	0.84	0.85
5	0.01	(0.81, 0.87)	(U.02, U.88)
September	0.81 (0.78 0.85)	0.00 (0.82 0.88)	00.00 (0 22 0 20)
•	0.20, 0.20	0.02, 0.00	0.00, 0.00)
October	0.00 (0.76, 0.83)	0.04 (0.81 0.87)	0.04 (0.81 0.87)
	0.50	0.57	0.01, 0.07) 0 57
November	(0.45, 0.54)	(0.53, 0.61)	(0.53, 0.63)
- ·	0.43	0.50	0.53
December	(0 20 0 40)	(0.46, 0.55)	(0.46.0.56)

# Table 2. Binomial Component Models: Water Use and Extraction (Likelihood).

Binomial model estimates of likelihood of water use and water extraction for median size cultivation area. Confidence intervals in parentheses.

295	The binomial models indicated that the likelihood of <i>water use</i> was greatest (>0.85) in
296	the growing season (June – October) for all operation types (Table 2) and lowest (< 0.40) in the
297	winter months (November – March). However, likelihood estimates were reliably higher for
298	mixed-light and combination cultivation farms than for outdoor farms (November – March),
299	reflecting water use extending further into these off-season months. Likelihood of water
300	extraction was reliably higher than water use from November – March, indicating that water
301	was more likely to be extracted, but not necessarily used, in the offseason. Correspondingly,
302	although the likelihood of <i>water use</i> was at certainty (1.00) in the peak growing season (July
303	and August), the likelihood of <i>water extraction</i> in these months was reliably lower (<0.85).
304	Predicted water use volumes from the hurdle models indicated strong seasonal
305	patterns, peaking in the late growing season (Fig 2; S1 Table). Water extraction volumes were
306	also greatest in the growing season, but showed less seasonal variation than water use. For
307	both outdoor and mixed-light cultivation types, water extraction was greater than water use
308	between November and April, but was less than water use from May to October. Overall, water
309	use and water extraction totals were higher for mixed-light than for outdoor operation type
310	farms of the same (median) size, likely resulting from greater density of plants per m <sup>2</sup> of
311	cultivation area.

312

Fig 2. Water Use versus Water Extraction. Predicted monthly water use and water extraction for outdoor and mixed-light operation types. Model estimates are provided for median farm size (cultivation area = 1,098 m<sup>2</sup>). Dashed lines depict 95% confidence intervals for the mean

316	estimate. The rate of 22 L / plant (15 m <sup>2</sup> ) / day, which equates to 51,020 L per month for the
317	median farm size of 1,098 m <sup>2</sup> of cultivation area, is plotted to provide contextual comparison.
318	
319	Models were next developed for storage balance to address the necessity of water
320	extraction during the growing season (April – October). Reliable predictors of storage balance
321	included <i>cultivation area</i> (Estimate = -166.09 L; SE = 49.44), <i>source type</i> (Seasonal Estimate =

114,945 L; SE = 35,246), and *storage type* (Pond Estimate = 599,763 L; SE = 15,420) (Table 3).

323 The model predicted *storage balance* to be insufficient (-278,879 L) for the median size farm

324 (*cultivation area* = 1,098 m<sup>2</sup>) that relied on perennial sources and used tanks or bladders

325 ("Other") for storage. Farms of this size relying on seasonal water sources were also predicted

to have a negative *storage balance* (-163,930 L). Only farms relying on seasonal water sources

that had ponds were predicted to have a positive *storage balance* (435,833 L) at the median

328 size of *cultivation area*. In general, *storage balance* decreased with increasing size of *cultivation* 

329 *area* (Fig 3). Farms without ponds were predicted to have an increasingly negative storage

balance, although farms with ponds were predicted to have sufficient *storage balance* for sizes

up to nearly one acre of cultivation (3,718 m<sup>2</sup>).

Table 5. Model estimates for water storage balance (Liters).			
Parameter	Estimate	SE	
Intercept	-96,611	65,236*	
Cultivation Area	-167	49*	
Operation Type (Mixed-light)	-28,770	82,444	
Operation Type (Combination)	-160,463	92,777	
Storage Type Pond	599,763	58,371*	
Seasonal Water Source	114,950	35,245*	

Table 3. Model estimates for water storage balance (Liters).

OT Mixed-Light*Cultivation Area	28	62
OT Combination*Cultivation Area	131	68*

Estimates for the linear model of water storage balance. Asterisks indicate reliable estimates (95% CI does not overlap zero).

333

334 Fig 3. Water Storage Sufficiency. Water storage balance for the cultivation season (April -

October) as predicted by cultivation area, source type, and storage type. Reported water use

336 for the cultivation season is subtracted from reported storage capacity, with values of zero

indicating storage sufficiency (boundary depicted by red line). Solid lines depict mean

estimates, while dashed lines depict 95% confidence intervals.

339

340 Given the importance of source type and storage type as predictors of storage balance, these parameters were used to refine model estimates of *water extraction*. Both component 341 models of the hurdle model fit with the additional parameters of source type and storage type 342 343 were favored by AIC (Binomial AIC = 7,456; Gamma AIC = 119,974) over the original component models (Binomial AIC = 8,265; Gamma AIC = 120,352). The binomial model predicted a reliably 344 345 higher likelihood of water extraction for farms relying on seasonal water sources in the months 346 of January, February, and March relative to farms with at least one perennial water source (Table 4). The pattern was reversed in the summer months of July, August, and September, 347 with farms extracting from seasonal water sources predicted to have a reliably smaller 348 349 likelihood of *water extraction* than farms with a perennial *source type*. Similarly, the binomial 350 model predicted a reliably higher likelihood of *water extraction* for farms with ponds in the 351 months of January, February, and March, relative to farms without ponds (i.e. storage type: 352 other; Table 4). The pattern was reversed in the summer months of July, August, and

353 September, with farms using ponds predicted to have a reliably smaller likelihood of extracting
354 water, relative to farms without ponds.

355	The volume of water extraction predicted by the full hurdle model followed the pattern
356	of the binomial model (Fig 4; S2 Table). Water extraction totals were reliably greater for farms
357	with seasonal water sources in the months of January (0.59), February (0.62), and March (0.65)
358	relative to farms with at least one perennial water source (0.31, 0.34, and 0.44, respectively;
359	Table 4). The pattern was reversed in the summer months of June, July, August, and
360	September, with predicted amount of water extraction from farms with a seasonal source type
361	lower than farms with a perennial source type. Farms with ponds demonstrated an even more
362	pronounced divergence from farms using perennial sources. Water extraction totals were
363	reliably higher for farms with pond <i>storage type</i> in the months of January (0.84), February
364	(0.82), and March (0.83) relative to other (tanks or water bladders) storage type, regardless of
365	source type. The pattern was reversed in the summer months of July, August, and September,
366	with predicted amount of water extraction from farms with ponds lower than from farms
367	without ponds, regardless of source type. However, this difference was only reliable between
368	farms with ponds and those without, in which the <i>source type</i> was perennial, as 95% confidence
369	intervals overlapped when comparing farms with and without ponds, in which source type was
370	seasonal.

Table 4. Binomial Models: Additional Predictors of Water Extraction(Likelihood).

Month	Source: Seasonal	Source: Perennial	Source: Seasonal
	Storage: Other	Storage: Other	Storage: Pond
	(95% Cl)	(95% CI)	(95% CI)
January	0.59	0.31	0.84
	(0.51, 0.66)	(0.26, 0.36)	(0.71, 0.91)

Fabruary	0.62	0.34	0.82
rebruary	(0.54, 0.69)	(0.30, 0.40)	(0.69, 0.90)
March	0.65	0.44	0.84
IVIAICII	(0.57, 0.72)	(0.38, 0.49)	(0.72, 0.92)
April	0.72	0.63	0.83
Арп	(0.64, 0.78)	(0.57, 0.68)	(0.70, 0.92)
May	0.71	0.81	0.53
lvidy	(0.63, 0.78)	(0.76, 0.85)	(0.40, 0.66)
luno	0.64	0.96	0.34
Julie	(0.56, 0.72)	(0.93, 0.97)	(0.23, 0.48)
Lub <i>i</i>	0.60	0.95	0.36
July	(0.52, 0.68)	(0.92, 0.97)	(0.25, 0.50)
August	0.61	0.95	0.31
August	(0.53, 0.68)	(0.92, 0.97)	(0.20, 0.44)
Sontombor	0.62	0.96	0.34
September	(0.54, 0.70)	(0.93, 0.98)	(0.23, 0.48)
Octobor	0.65	0.89	0.53
October	(0.57, 0.72)	(0.85, 0.92)	(0.39, 0.67)
November	0.50	0.45	0.60
november	(0.42, 0.57)	(0.40, 0.51)	(0.47, 0.72)
December	0.50	0.32	0.77
December	(0.42, 0.58)	(0.27, 0.38)	(0.63, 0.86)

Water extraction model estimates for median size cultivation area, with additional predictors of source type and storage type. Confidence intervals in parentheses.

372

- 373 Fig 4. Additional Predictors of Water Extraction. Monthly water extraction, based on source
- 374 type and storage type. Model estimates are provided for median farm size (cultivation area =

1,098 m<sup>2</sup>). Dashed lines depict 95% confidence intervals for the mean estimate. The rate of 22 L

- 1376 / plant (15 m<sup>2</sup>) / day, which equates to 51,020 L per month for the median farm size of 1,098 m<sup>2</sup>
- of cultivation area, is plotted to provide contextual comparison.
- 378

## 379 **Discussion**

380 Cannabis cultivation has been considered an emerging environmental threat to

381 Northern California watersheds [5]. While there is strong evidence that a large number of farms

are located in sensitive and remote locations [4], until now, there had been little data about

their actual water demand patterns. Applying newly available data, we modeled the
characteristics of water extraction, storage, and use for over 600 cannabis farms in Northern
California, providing policy relevant information on these patterns.

We found reliable variation between months in terms of both water use and water 386 extraction. For all operation types, water extraction in offseason months exceeded water use, 387 reflecting input to storage rather than immediate use for cultivation. This stored water likely 388 reduced the need to withdraw water in summer months, as water extraction was less than 389 390 water use during this period. However, farms did not generally have enough storage to 391 completely refrain from extracting from April through October. The same useful predictors of storage sufficiency (type of storage infrastructure and seasonality of water sources) had reliable 392 393 effects on extraction patterns, further emphasizing that patterns of input to storage are linked to storage capacity and whether a farm needs to store water. Farms relying on seasonal water 394 395 sources, and especially those with ponds, weighted their annual extraction profile toward 396 offseason months, whereas farms incorporating perennial sources had extraction profiles that 397 more closely followed plant demand over the growing season. The results observed herein demonstrate that estimating the water demands of cannabis cultivation will require accounting 398 for monthly extraction patterns, in addition to cultivation strategies and farm characteristics 399 400 that influence them. Furthermore, given the link between water storage and extraction 401 patterns, widespread storage insufficiency represents an important topic of discussion in light of future natural (e.g. drought) and regulatory (e.g. forbearance) restrictions on seasonal water 402 403 sources.

#### 405 Storage Insufficiency

The results suggest that many farms may need to expand water storage capacity if they 406 are to eliminate the need for surface water extractions during the growing season. Beginning in 407 2019, forbearance requirements will be implemented by the California State Water Resources 408 409 Control Board that prohibit extraction from surface water (and springs that deliver to surface 410 water) from April through October. Therefore, although farms included in the current study were not subject to these restrictions at the time data were collected, farms relying on surface 411 412 water (and connected springs) will be required to either develop storage or seek an alternative 413 water source, such as subsurface water. Furthermore, the data analyzed in the current study were collected after a particularly wet winter (2016-2017) [34] and many seasonal water 414 415 sources reported, herein, may not be available during drought, or even normal years. While farms may have the options of developing storage for surface water and/or rain catchment, 416 receiving water from offsite, or extracting subsurface water, previous work has suggested that 417 drilling wells may be the method of choice to source water in a manner that will provide 418 insurance against drought and comply with forbearance requirements [10]. The appeal of 419 420 drilling a well may reflect difficulties associated with obtaining storage infrastructure, which could be partially responsible for this decision. 421

Although farms with ponds generally had sufficient water storage to comply with forbearance requirements, only approximately 10% of farms reported use of a pond for cannabis irrigation. There are logistical, financial, environmental, and regulatory concerns that are likely limiting this option for farms. Aside from the costs and engineering constraints for building ponds on rugged terrain, there may be difficulty in ensuring ponds are not situated on

seasonal watercourses, thus capturing streamflow and rendering them non-compliant with 427 428 state and county regulations. Depending on where they are located, ponds may also serve as habitat for invasive species, such as bullfrogs, which are also of concern to regulatory agencies. 429 Although water storage tanks could avoid these concerns, the costs of units themselves and the 430 431 availability of appropriate terrain to site numerous large water tanks may pose complications for farms in rugged terrain. With increasingly larger farms in such areas, the likelihood of 432 securing enough tanks to meet water needs becomes increasingly smaller. Under these 433 434 circumstances, not all farms that rely on seasonal water may be able to meet forbearance 435 requirements (or outlast drought conditions), due to a lack of water storage. In these cases, farmers may instead choose to bypass storage requirements by drilling wells, which emphasizes 436 437 the need to account for extraction patterns of perennial versus seasonal water sources.

438

### 439 Water Sources and Ecological Impacts

Based on results observed in the current study, farms using wells would be expected to 440 follow an extraction pattern that matches plant demand, overlapping with diminishing instream 441 442 flow during summer dry months [35]. It is known that extraction of ground water may have a delayed impact on instream flow on the order of weeks, months, or years, depending on the 443 444 depth of extraction, conductivity of the soil, and the recharge received from precipitation [36]. As a result, understanding lagged effects on instream flow will be useful when assessing the 445 potential benefits of shifting the instream flow impacts of cannabis water extraction out of the 446 crucial summer drought months. An accurate assessment of the benefits and risks of well 447 448 extraction will require a better understanding of the geology and hydrology in areas where

cannabis cultivation occurs and on the spatial and temporal dimensions of groundwater-surface
water interactions [37-38]. While there may be benefits of lagged impacts of wells on instream
flow, the possibility of wells instead being directly hydrologically connected to streams may
result in additional concerns for instream flow [39].

453 Wells that are shallow and close to surface water have a high likelihood of directly capturing stream flow [40-41]. As a result, water extraction would have a minimal lag on 454 instream impacts and the extraction pattern, matching plant demand, would directly overlap 455 456 with the most crucial low instream flow period. Further work is needed to determine the 457 propensity for wells servicing cannabis farms to be located near streams and the degree to which they are hydrologically connected. For wells that are determined to be capturing surface 458 459 water, forbearance requirements will prohibit the use of these sources from April through October. The ability of these farms to switch to storing water or to drill a new well would then 460 461 influence their ability to remain in compliance with regulations. For sites that are currently outside of the regulated industry, this may be a barrier to becoming permitted. Given the link 462 between water sources and seasonal extraction patterns demonstrated in the current study, it 463 will be useful to determine how unpermitted sites (i.e. those operating outside the regulated 464 industry) may use water in order to develop a holistic understanding of the impact of cannabis 465 466 cultivation in general on instream flow.

Although the current study demonstrated that summer water extraction is reduced for farms that use seasonal water sources, unpermitted sites frequently use seasonal sources opportunistically during the summer growing season [18]. In fact, illegal diversions are a major issue, given that the majority of cannabis cultivation in the North Coast of California is currently

471	unpermitted [42]. In those cases, plant demand (i.e. water use) estimates provided herein may
472	be more appropriate predictors of water impacts, assuming little to no storage is being used.
473	However, it is difficult to anticipate what proportion of these farmers incorporate water
474	storage, either due to necessity or concern for environmental impacts. This simultaneously
475	emphasizes the importance of these sites entering the regulated industry [43] and illustrates
476	the limitations of trying to estimate collective impacts of cannabis cultivation without sufficient
477	data on cultivation practices of unpermitted operations.

478

#### 479 **Future Research Needs**

A lack of understanding of illicit (i.e., unpermitted) cannabis farming practices 480 represents one of several limitations of this study and a need for additional data. Field 481 observations from warrant inspections on unpermitted cannabis farms have revealed several 482 cultivation practices that may affect how water is extracted, stored, and used for cannabis. For 483 example, perennial springs that would otherwise feed small streams are often dammed by 484 cannabis cultivators to store water for critical summer months. Alternatively, spring diversions 485 486 often feed directly into storage tanks without overflow protections, thereby moving water out of its regular channel and dispersing it in upland areas. Empirical streamflow studies may be 487 488 useful to assess the impact of these practices, comparing expected water extraction totals to instream flow reductions in a paired watershed design. These efforts would be aided by 489 improving water extraction estimates themselves, using more detailed data to improve 490 491 accuracy.

492	Data collection incorporating additional parameters that influence water use for
493	cannabis cultivation would be beneficial to both regulators and farmers. The results of this
494	study indicate significant differences in predicted water use and extraction amounts as a result
495	of operation types known to differ in plant sizes, spatial arrangement, and evapotranspiration
496	potential based on ambient temperature and humidity. However, the precise relationship
497	between these variables remains unknown. Furthermore, there are certainly additional factors,
498	such as the soil type, local climate, and cultivar that will influence water consumption [26]. A
499	better understanding of these factors could potentially inform water conservation best
500	practices targeted toward specific cultivation strategies and growing conditions, the variety of
501	which are a hallmark of the cannabis industry in Northern California. Improved estimates that
502	account for diverse cultivation practices may also help growers to know how their use
503	compares with the expected range of water use and thus be able to identify and address
504	operational inefficiencies.

505

### 506 **Conclusion**

507 This study demonstrates that predicting water demands of cannabis farms requires 508 consideration of the seasonal patterns of water extraction, cultivation practices, water sources, 509 and storage availability. Pending decisions for farmers aiming to comply with regulations may 510 influence these seasonal extraction patterns and in turn, inform relative impacts to instream 511 flow. In general, more data are needed on cultivation practices to help determine additional 512 factors that influence water demand by cannabis farms. Regulators and researchers may 513 continue to explore the geographical, climatic, and operation-specific factors that influence

514	water demand and more specifically tailor regulations based on these factors. Cannabis farmers
515	may benefit from an established understanding of what water use expectations are and should
516	be. All stakeholders will benefit from determinations of environmental impacts, so that
517	regulatory objectives can be effective, transparent, and achievable [44-45].
518	
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- 525

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# 686 Supporting Information

687	S1 Figure. Distributions of Summary Statistics. Summary statistics for the continuous model
688	parameters of cultivation area (predictor) and storage balance (response). Annual water use
689	and annual water extraction are depicted for descriptive purposes only and are not included as
690	model predictors or response variables.
691	
692	S2 Figure. Monthly Water Data Distributions. Raw monthly water use and water extraction
693	values. Distributions depict non-zero observations, used in the continuous (gamma) model
694	component of the hurdle model. The proportion of monthly observations that were non-zeros
695	is also provided, corresponding to binary input to the binomial model component of the hurdle
696	model.
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Month	Outdoor	Mixed-light	Combination
	(95% CI)	(95% CI)	(95% CI)
Water Use		· · ·	
	3964	6720	6664
January	(2984 5320)	(5180 8827)	(5061 8857)
Fabruary	4193	6897	6843
February	(3207, 5529)	(5407, 8887)	(5290, 8917)
March	6278	9857	9746
	(4950, 8000)	(7965, 12288)	(7780, 12261)
April	14926	20729	20284
	(12573, 17705)	(17792, 24235)	(17302, 23815)
May	31419	41482	39882
	<u>(27656, 35622)</u>	<u>(36788, 6957)</u>	(35221, 45328)
June	48163	64492	60895
	(43557, 53090)	(57992, 72061)	(54388, 68615)
tub.	56529	79827	74403
July	(50950, 62368)	(71485, 89513)	(65954, 84505)
August	60890	88827	82161
	(54845, 67400)	(79399, 99965)	(72532, 93934)
September	54050	76064	71090
	(48590, 60022)	(68021, 85574)	(62988, 80903)
Octobor	33900	43854	42107
October	(30139, 38048)	(39125, 49361)	(37428, 47578)
November	7404	11738	11571
November	(5859, 9395)	(9504, 14602)	(9258, 14523)
December	4369	7305	7239
2 0000000	(3325, 5794)	(5693, 9476)	95561, 9498)
Vater Extraction			
January	20092	34932	31408
	(16302, 24861)	(27787, 44527)	(25028, 39786)
Fabruary.	20314	34010	30850
February	(16622, 24908)	(5407, 8887)           9857           (7965, 12288)           20729           (17792, 24235)           41482           (36788, 6957)           64492           (57992, 72061)           79827           (71485, 89513)           88827           (79399, 99965)           76064           (68021, 85574)           43854           (39125, 49361)           11738           (9504, 14602)           7305           (5693, 9476)           34932           (27787, 44527)           34010           (27366, 42778)           37038           (30346, 45657)           35070           (29649, 41771)           40341           (34560, 47397)           56753           (48657, 66744)           66701           (56779, 79112)           74263           (62977, 88517)           64136           (54737, 75862)           41876           (35976, 49080)           21815	(24860, 38587)
N 4 I-	22727	37038	33501
iviarch	(18892, 27399)	(36788, 6957) 64492 (57992, 72061) 79827 (71485, 89513) 88827 (79399, 99965) 76064 (68021, 85574) 43854 (39125, 49361) 11738 (9504, 14602) 7305 (5693, 9476) 34932 (27787, 44527) 34010 (27366, 42778) 37038 (30346, 45657) 35070 (29649, 41771) 40341 (34560, 47397) 56753 (48657, 66744) 66701 (56779, 79112) 74263 (62977, 88517) 64136	(27495, 41083)
	23882	35070	32215
April	(20410, 27963)	(95% CI) 6720 (5180, 8827) 6897 (5407, 8887) 9857 (7965, 12288) 20729 (17792, 24235) 41482 (36788, 6957) 64492 (57992, 72061) 79827 (71485, 89513) 88827 (79399, 99965) 76064 (68021, 85574) 43854 (39125, 49361) 11738 (9504, 14602) 7305 (5693, 9476) 34932 (27787, 44527) 34010 (27366, 42778) 37038 (30346, 45657) 35070 (29649, 41771) 40341 (34560, 47397) 56753 (48657, 66744) 66701 (56779, 79112) 74263 (62977, 88517) 64136 (54737, 75862) 41876 (35976, 49080) 21815 (17699, 27162) 26623 (21133, 33988)	(27320, 38159)
	27660	6720 (5180, 8827) 6897 (5407, 8887) 9857 (7965, 12288) 20729 (17792, 24235) 41482 (36788, 6957) 64492 (57992, 72061) 79827 (71485, 89513) 88827 (79399, 99965) 76064 (68021, 85574) 43854 (39125, 49361) 11738 (9504, 14602) 7305 (5693, 9476) 34010 (27366, 42778) 34010 (27366, 42778) 35070 (29649, 41771) 40341 (34560, 47397) 56753 (48657, 66744) 66701 (56779, 79112) 74263 (62977, 88517) 64136 (54737, 75862) 41876 (35976, 49080) 21815 (17699, 27162) 26623 (21122, 22090)	36611
May	(23999, 31881)	(34560, 47397)	(31495, 42755)
	36022	56753	49482
June	(31494 41204)	(48657 66744)	(42612 7840)
	39602	66701	56693
July	(3//73 /5513)	(56770 70112)	(18121 66911)
•	(34473, 43313)	(30773,73112)	(40424, 00011) 61072
August	42204	(62077, 89517)	(52726 72514)
	(30/33, 48000)	(02977, 88317)	[32720, 73314]
September	30090 (22041 44506)	04130	549UI
	(33941, 44596)	(54/37, /5862)	(47041, 64578)
October	28833	41876	37881
	(25143, 33064)	(35976, 49080)	(32691, 44121)
November	14877	21815	20787
	(12204, 18194)	(17699, 27162)	(16885, 25755)
December	16404	26623	24716
December	(13240, 20413)	(21133, 33988)	(19656, 31349)

#### S1 Table. Full Hurdle Model Estimates (Liters).

Water use and extraction model estimates for median size cultivation area, by operation type. Confidence intervals in parentheses.

#### 

# S2 Table. Full Hurdle Model Estimates for Additional Predictors (Liters).

	Source: Perennial	Source: Seasonal	Source: Seasonal
Month	Storage: Other	Storage: Other	Storage: Pond
	(95% CI)	(95% CI)	(95% CI)
January	9991	24482	66754
	(7386, 13640)	(18514, 32237)	(47181, 91822)
February	10158	26205	62758
	(7628, 13630)	(20010, 34149)	(43894, 87217)
March	11896	30162	62561
	(9226, 15410)	(23227, 38946)	(44305, 85627)
April	18956	29052	39124
	(15565, 23061)	(22858, 36603)	(27504, 53266)
May	28877	27256	22268
	(24634, 33778)	(21542, 34154)	(13672, 35255)
June	43182	28963	17684
	(38113, 48807)	(22447, 37013)	(9649, 31735)
July	50087	29316	18167
	(43948, 56981)	(22418, 38029)	(9971, 32552)
August	54027	31459	16770
August	(47448, 61430) (24057, 40821)	(8851, 31444)	
September	49173	29136	16331
	(43291, 55747)	(22355, 37667)	(8804, 29828)
October	32524	23488	23193
	(28173, 37455)	(18112, 30224)	(14003, 37241)
November	11730	14812	30554
	(9154, 15092)	(10840, 20250)	(18948, 48788)
December	9431	17312	52948
	(7035, 12745)	(12715, 23548)	(35952, 76012)

Water extraction model estimates for median size cultivation area, with additional predictors of source type and storage type. Confidence intervals in parentheses.

# Study Area Map



# Figure



Mixed-Light



Outdoor

# Figure



Cultivation Area (m^2)

Figure

Cultivation Area (m^2)

Cultivation Area (m^2)

.



Figure

**Annual Water Use** 

**Annual Water Extraction** 



Figure



Figure